## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Drue A. Hull

**SERIAL NO.:** 

FILING DATE:

November 26, 2003

TITLE:

Wireless Pulse Oximeter

Configured for Web Serving, Remote Patient Monitoring and

Method of Operation

**ART UNIT:** 

EXAMINER:

DOCKET NO.: 2

2878.DHUL.PT

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Commissioner for Patents. P.O. Box 150 Alexandria, VA

edients, P/O. Box 150, 22313-1450.

Paul C. Oestreich

11-26-03 Date of Deposit

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.98(a)(1), enclosed is Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed, pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491or before the mailing date of a first Office action on the merits. Applicant requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of the references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned

attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no reference which is more relevant to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference or relevant portion thereof is also enclosed. Any listed reference that is not in the English language is accompanied by a concise explanation in English of its relevance as required under 37 C.F.R. § 1.98(a)(3)(i).

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this day of November, 2003.

Respectfully submitted,

Paul C. Oestreich
Attorney for Applicant
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Sheet

## PRMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

2

Complete if Known						
Application Number						
Filing Date	November 26, 2003					
First Named Inventor	Drue A. Hull					
Group Art Unit						
Examiner Name						
Attorney Docket Number	2878.DHUL.PT					

			U.S. PATENT DO	CUMENTS	
Examiner Initials*	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
initidis.	No.¹	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-2002/0013518	01-31-2002	West et al.	
		US-2002/0032386	03-14-2002	Sackner et al.	
		US-2002/0173704	11-21-2002	Schulze et al.	
		US-5,575,284	11-19-1996	Athan et al.	
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		US-6,215,403	04-10-2001	Chan et al.	
		US-6,415,166	07-02-2002	Van Hoy et al.	
		US-6,454,708	09-24-2002	Ferguson et al.	
		US-6,584,336	06-24-2003	Ali et al.	
		US-			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.¹	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			

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Examiner		•				Date	l						 		
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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Application Number							
Filing Date	November 26, 2003						
First Nam d Inv ntor	Drue A. Hull						
Group Art Unit							
Examiner Name							
Attorney Dock † Number	2878.DHUL.PT						

		OTHER PRIOR ART – NON P	ATENT LITER	ATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (wh page(s), volume-issue num	en appropriate), title aber(s) publisher city o	of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, and/or country where published	T²
		"www.spomedical.com" web page re	elating to 'f	Pulse Oximetry'.	
		"www.pgselectronics.com" web page Pulse Oximeter Modules'.	relating to	'Pulse Oximetry and	
		"www.welchallyn.com" web page rel Systems'.	ating to the	e 'Welch Allyn® Patient Monitoring	
			d submitte	(Pulse Oximeter: A Portable Wireless It to the Dept. of Electrical Engineering raduate School of the University of	
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Examiner Signature			Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation (optional). <sup>2</sup>Applicant is to place a check mark here if English language translation is attached.